



JRW

3637

## INFORMATION DISCLOSURE TRANSMITTAL

Docket No. SUN040430

Applicant: Shlomo D. Novotny  
Serial No: 10/764,848  
Filed: January 26, 2004  
For: SELF-COOLED ELECTRONIC EQUIPMENT ENCLOSURE WITH  
FAILURE TOLERANT COOLING SYSTEM AND METHOD OF  
OPERATION  
Examiner: Not Yet Assigned  
Art Unit: 3637

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail  
with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 20, 2004.

Jan L. Mellen  
Jan L. Mellen

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In keeping with the duty of candor and good faith owed to the Patent and Trademark  
Office, Applicant wishes to bring information to the attention of the Examiner. The filing of this  
statement shall not be construed as a representation that a search has been made or as an  
admission that this information is, or is considered to be, material to patentability as defined in  
37 C.F.R. §1.56(b).

## Enclosures

- A form PTO-1449 listing this information is attached  
 A copy of each document cited is enclosed.  
 Copies of the cited documents are not enclosed because

## Fees

- This statement is filed before the later of (1) three months of (i) the filing of a national  
application or (ii) the entry date for the national stage of an international application or  
(2) the mailing date of a first office action on the merits. No fee is due.  
 This statement is filed before the mailing date of a final office action, a notice of  
allowance or an action that otherwise closes prosecution, and  
 The submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, or  
 The following certification is made:  
 each item of information contained in this statement was cited in a  
communication from a foreign patent office in a counterpart foreign  
application not more than three months prior to the filing of this statement,  
or



no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

- This statement is filed on or before payment of the issue fee, the submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, and
- each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
  - no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**Payment**

- A check in the amount of the submission fee is enclosed.
- Charge Account No. 02-3038 in the amount of the submission fee. A duplicate of this transmittal sheet is attached.

**Authorization to Charge Additional Fees**

- The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §1.16 and §1.17 required by the attached paper and during the entire pendency of this application to Account No. 02-3038.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Paul E. Kudirka".

Date: 5/20/04

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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 1**

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## **U.S. PATENT DOCUMENTS**

Exam Units	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date

## **FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
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## **OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS**

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		"Protection for Today's Needs ... And Tomorrow's Opportunities", Liebert Foundation, <a href="http://www.liebert.com/whatsnew/foundation/foundation.asp">http://www.liebert.com/whatsnew/foundation/foundation.asp</a> .	<input type="checkbox"/>
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Examiner Signature		Date Considered	
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